

12/19/01 11031 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

PATENT APPLICATION

RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt.

P 027 7027

M#

H7631US

Client Ref

Date:

December 19, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title: PICKED-UP-SOUND REPRODUCING METHOD AND APPARATUS

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 72 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 21 Numbered claim(s); and

7. ☒ Drawings: 15 sheet(s) ☒ 1 set informal; 8. ☐ formal of size: ☐ A4 ☐ 11"

9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-390381	December 22, 2000	(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) filed on

12. ☐ This is a reissue of Patent No.

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ Amend the specification by inserting before the first line -- This is a ☐ Continuation-in-Part ☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. / filed .- - (M#)

14(b) ☐ International Appln. No. PCT/ filed which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--

15. ☐ Amend the specification by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/ , filed .--

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (pre-filing confirmation required)

17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)

17(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

18. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Toru		Hirai
	First	Middle Initial	Family Name
Residence	Shizuoka-ken	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Yamaha Corporation, 10-1, Nakazawa-cho, Hamamatsu-shi, Japan		
(include Zip Code)	430		

(2) Inventor	Fukushi		Kawakami
	First	Middle Initial	Family Name
Residence	Shizuoka-ken	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Yamaha Corporation, 10-1, Nakazawa-cho, Hamamatsu-shi, Japan		
(include Zip Code)	430		

(3) Inventor	Yashushi		Shimizu
	First	Middle Initial	Family Name
Residence	Shizuoka-ken	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Yamaha Corporation, 10-1, Nakazawa-cho, Hamamatsu-shi, Japan		
(include Zip Code)	430		

(4) Inventor	Yoshikazu		Honji
	First	Middle Initial	Family Name
Residence	Shizuoka-ken	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Yamaha Corporation, 10-1, Nakazawa-cho, Hamamatsu-shi, Japan		
(include Zip Code)	430		

(5) Inventor	Masahiro		Ikeda
	First	Middle Initial	Family Name
Residence	Shizuoka-ken	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Yamaha Corporation, 10-1, Nakazawa-cho, Hamamatsu-shi, Japan		
(include Zip Code)	430		

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☒
and attach sheet with same information regarding additional inventors.

Pillsbury Winthrop LLP
Intellectual Property Group

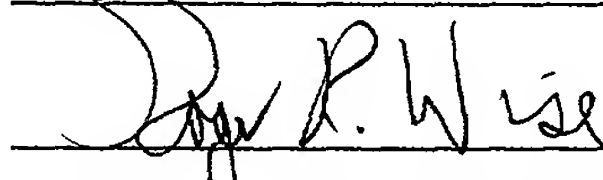
725 South Figueroa Street, Suite 2800.
Los Angeles, CA 90017-5406
Tel: (213) 488-7100

By: Atty: Roger R. Wise

Reg. No. 31204

Atty/Sec: RRW/jes

Sig:



Fax: (213) 629-1033

Tel: (213) 488-7584

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

REQUEST FOR FILING APPLICATION
Under Rule 53(a), (b)(1) & (d)(1)
(Continued : Additional Inventors)

(6) Inventor	Mikio		Tohyama
	First	Middle Initial	Family Name
Residence	Shizuoka-ken	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Yamaha Corporation, 10-1, Nakazawa-cho, Hamamatsu-shi, Japan		
(include Zip Code)	430		

(7) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(8) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(9) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(10) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(11) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(12) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

PATENT
027 7027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application
HIRAI, et al.

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: December 19, 2001

For: PICKED-UP-SOUND REPRODUCING METHOD AND
APPARATUS

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 913 506 US

Date of Deposit: December 19, 2001

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

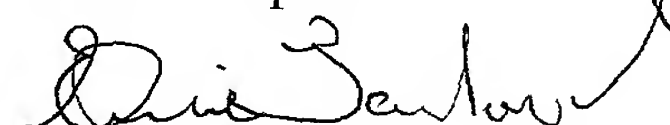
- ☒ Letter of transmittal
- ☒ Patent application (72 pages of specification; 21 claims; 1 pages of abstract)
- ☒ 15 sheets of informal drawings
- ☒ Return postcard
- ☒ Certified copy of Japanese patent application from which priority is claimed

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231.

December 19, 2001

Date of Deposit



Ernie Sandoval